



# AUTOMATA THEORY AND FORMAL LANGUAGES

## UNIT 2: AUTOMATA THEORY





# OUTLINE

- Introduction to Automata Theory
- Formal Languages: Introduction and Definitions
  - Definitions
    - Symbols (of a Language)
    - Alphabet (of a Language)
    - Word (or string); Length of a Word; Empty Word
    - Universe of an Alphabet
  - Operations with Words. Operations with Languages





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- In this subject we are interested in knowing whether a problem is computable or not.

In addition...

**time required,**  
**memory required,** and  
**computation model to be used.**





- Which is the meaning of **computation**?
- Automata Theory is focused on the computation itself, not in the detailed definition of input and devices.





## Oxford English Dictionary

### Automaton.

(Latin, self-operating machine, from Greek, from neuter of automatos, self-acting; see automatic.).

- 1. Instrument or device which includes an internal mechanism to facilitate an automatic movement.**
2. Machine made in imitation of a human being (appearance and movements).
3. A person who acts mechanically or leads a routine monotonous life.





## Automaton:

Mathematical model defined for computation.

## Automata:

Abstraction of any type of computer and/or programming language.  
Set of basic elements (Inputs, States, Transitions, Outputs and auxiliary elements)





# Types of Automata

Finite Automata (and sequential machines)

Push-Down Automata

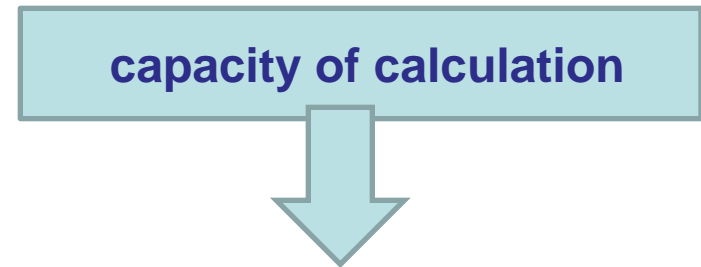
Linear-Bounded Automata

Turing Machine

Cellular Automata

Artificial Neural Networks

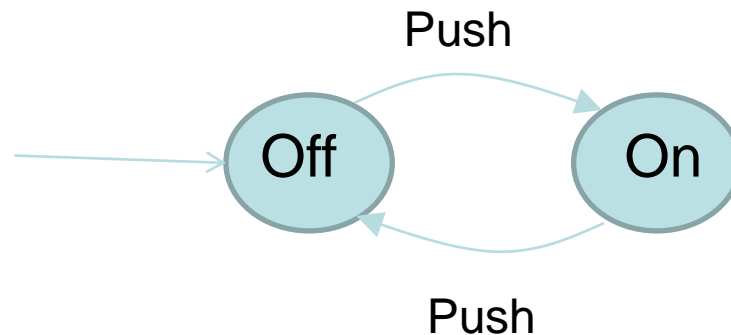
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Every automaton can be transformed into an algorithm and viceversa.

Finite Automaton:





Criteria: Number of inputs.

Usually DISCRETE:

Finite Automata (and sequential machines)

Push-Down Automata

Turing Machines

DISCRETE, CONTINUOUS AND/OR HYBRIDS:

Cellular Automata

Artificial Neural Networks





## ***ROBOTS BEHAVIOUR IN RoboSoccer***

- Finite state automata applied to robot soccer (Peter van de Ven)

<http://www.google.es/rdr?sa=t&source=web&cd=9&ved=0CEwQFjAI&url=http%3A%2F%2Fciteseerx.ist.psu.edu%2Fviewdoc%2Fdownload%3Fdoi%3D10.1.1.125.1893%26rep%3Drep1%26type%3Dpdf&rct=j&q=robotics%20finite%20automata&ei=BxOvTJafAoWclgf0ulnXBA&usg=AFQjCNGPqCsTw6wBHgP44uPpTbFENwERtQ&cad=rjt>





## **GAME OF THE LIFE**

- Example of a cellular automaton, designed by the British mathematician John Horton Conway in 1970.
- Transitions depend on the number of alive neighboring cells:
  - A dead cell that has exactly 3 alive neighboring cells will be alive in the following turn.
  - An alive cell with 2 or 3 alive neighboring cells follows alive, in another case it dies or remains dead (by “isolation” or “overpopulation”).
- <http://www.youtube.com/watch?v=XcuBvj0pw-E>
- <http://www.youtube.com/watch?v=FdMzngWchDk>
- <http://www.youtube.com/watch?v=k2IZ1qsx4CM&NR=1>





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    - **Universe of an Alphabet**
  - Operations with Words. Operations with Languages





- **Symbol:** an abstract entity with indivisible nature.
  - No formal definition (“point” in geometry).
  - Letters, digits, characters, etc.
  - It is possible to find symbols that consist of several characters, e.g.: IF, THEN, ELSE, ...
- **Alphabet ( $\Sigma$ ):** Finite set not empty of symbols.
  - Notation: Let “ $a$ ” be a letter and let  $\Sigma$  be an alphabet:  
 $a$  belongs to this alphabet  $\Rightarrow a \in \Sigma$
  - Examples:

$$\Sigma_1 = \{A, B, C, \dots, Z\}$$

$$\Sigma_2 = \{0, 1\}$$

$$\Sigma_3 = \{IF, THEN, ELSE, BEGIN, END\}$$





- **Word, string:** Finite sequence of symbols drawn from an alphabet (sentence and string are also use as synonyms).
  - Examples:
    - Words over  $\Sigma_1 \rightarrow$  HOME, BALL, etc.
    - Words over  $\Sigma_2 \rightarrow$  00011101
    - Words over  $\Sigma_3 \rightarrow$  IFTHENELSEEND

Note: Words are represented by lowercase by the end of the alphabet  
( $x, y, z$ ), e.g.,  $x =$  HOME,  $y =$  IFTHENELSEEND





# Introduction and Definitions

- **Length of a word:** Number of symbols composing the word.
  - The length of a word  $x$  is represented by  $|x|$

Examples:

$$|x| = |\text{HOME}| = 4$$

$$|y| = |\text{IFTHENELSEEND}| = 13$$

FALSE, due to the definition of the alphabet, the length is 4

- **Empty word  $\lambda$ :** Word of length 0.
  - It is represented by  $\lambda$ ,  $|\lambda| = 0$
  - In every alphabet, it is possible to construct  $\lambda$
  - Utility: it is the neutral element in many operations with words and languages.







- **Universe of an alphabet,  $W(\Sigma)$ :** All the words that can be created using the symbols of the alphabet  $\Sigma$ 
  - It is also called Universal Language of  $\Sigma$ .
  - It is represented by  $W(\Sigma)$ .
  - It is an infinite set.
  - E.g., given the alphabet  $\Sigma_4 = \{A\}$ ,  
 $W(\Sigma_4) = \{\lambda, A, AA, AAA, \dots\}$  with a  $\infty$  number of words

## **COROLLARY:**

→  $\forall \Sigma, \lambda \in W(\Sigma) \Rightarrow$  The empty word is included in every Universal Language of every alphabet.





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- **Operations with words: on a given speech universe words.**
  1. Words concatenation.
  2. Word power.
  3. Word reflection.



- **Operations with words:**

1. **Word Concatenation:** given two words  $x, y$  that fulfill  $x \in W(\Sigma)$ ,  $y \in W(\Sigma)$ , and  $|x| = i = |x_1x_2\dots x_i|$  and  $|y| = j = |y_1y_2\dots y_j|$ ,

The concatenation of  $x$  with  $y$  is:

$$x \cdot y = xy = x_1x_2\dots x_i y_1y_2\dots y_j = \mathbf{z}, \text{ where } z \in W(\Sigma)$$

*(symbols of  $x$  followed by symbols of  $y$ )*

**Properties:**

- Closed operation.
- Asociative.
- With neutral element.
- Not conmutative.

**Definitions:**

- Head.
- Cue.
- Lenght of the word.

- **Operations with words:**

- **3. Powers of an Alphabet:** reduction of the concatenation to cases relating to the same word.

- *i*-th power of a word is the result of the concatenation of this word with itself *i* times
    - Associate property  $\Rightarrow$  the order is not necessary
    - $x^i = x \cdot x \cdot x \cdot \dots \cdot x$  *i* times
    - $|x^i| = i \cdot |x|$
    - It fulfills:
      - $x^1 = x$
      - $x^{1+i} = x \cdot x^i = x^i \cdot x$  ( $i > 0$ )
      - $x^{j+i} = x^j \cdot x^i = x^i \cdot x^j$  ( $i, j > 0$ )
    - Due to its definition,  $x^0 = \lambda$ 
      - ( $i, j \geq 0$ )



- **Operations with words:**

**4. Word reflection:** Be  $x = A_1A_2A_3\dots A_n$  a word, the reflected word of  $x$  is

$$x^{-1} = A_n\dots A_3A_2A_1$$

- Word with the same symbols in inverse order.
- $|x^{-1}| = |x|$





- **Language, L:** A language over the alphabet  $\Sigma$  is:
  - Every subset of the universal language of  $\Sigma$ ,  $L \subset W(\Sigma)$
  - Every subset of words over a specific  $\Sigma$  (generated from the alphabet of  $\Sigma$ )





- **Language, L:**

- Special languages:

- $\phi$  = Empty language,  $\phi \subset W(\Sigma)$
- $\{\lambda\}$  = Language of the empty word.

they are differenced due to the number of words (cardinality):

$$C(\phi) = 0 \text{ and } C(\{\lambda\})=1$$

$\phi$  y  $\{\lambda\}$  are languages generated over every alphabet.

- An alphabet is one of the languages generated by itself:

$$\Sigma \subset W(\Sigma), \text{ e.g. Chinese}$$

- There are infinite languages associated to an alphabet.







- **Operations with languages:** over a given alphabet
  1. Union of languages.
  2. Languages concatenation.
  3. Power of a language.
  4. Positive closure of a language.
  5. Iteration or closure of a language.
  6. Reflection of languages





- **Operations with languages:** over a given alphabet

## 1. Union of languages

- Let  $L_1$  y  $L_2$  be two languages defined using the same alphabet,  $L_1, L_2 \subset W(\Sigma)$ , the union of these two languages,  $L_1, L_2$  is represented by  $L_1 \cup L_2$  and defined by

$$L_1 \cup L_2 = \{x \mid x \in L_1 \text{ OR } x \in L_2\}$$

- Set of words from each one of the languages (equivalent to the plus operation).





- **Operations with languages:**

1. **Union of languages:**

Properties:

- Closed operation
- Associative property  $(L_1 + L_2) + L_3 = L_1 + (L_2 + L_3)$
- With neutral element  $\phi + L = L$
- Conmutative  $L_1 + L_2 = L_2 + L_1$
- Idempotent  $L + L = L$



- **Operations with languages:** over a given alphabet

## 2. Concatenation of languages

- Let  $L_1$  and  $L_2$  be two languages defined given the same alphabet,  $L_1, L_2 \subset W(\Sigma)$ , the **concatenation or product** of two languages,  $L_1$  and  $L_2$  is represented by  $L_1.L_2$  and defined by the language:

$$L_1.L_2 = \{xy \mid x \in L_1 \text{ AND } y \in L_2\}$$

- Set of words that consists of the concatenation of the words of  $L_1$  with the words of  $L_2$
- Valid definition for languages with almost one element.
- In the case of the empty language:  $\phi . L = L . \phi = \phi$



- **Operations with languages:**
  - 2. Concatenation of languages**

Properties:

- Closed operation
- Associative property
- With a neutral element
- Distributive property with regard the union



- **Operations with languages:**

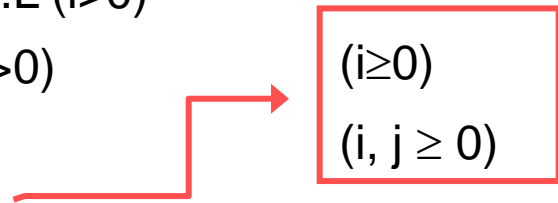
## 4. Powers of a language

- Particular case of the concatenation for only one language.
- *i*-th power of a language = result of concatenating this language with itself *i* times.
- Associative property  $\Rightarrow$  it is not needed to specify the order
- $L^i = L . L . L . \dots . L$  *i* times
- Given that  $L^1 = L$ ,  
then:

$$L^{1+i} = L.L^i = L^i.L \quad (i > 0)$$

$$L^{j+i} = L^i.L^j \quad (i, j > 0)$$

- Defining  $L^0 = \{\lambda\}$





- **Operations with languages:** over a given alphabet

## 5. Positive Closure of a language

- It is denoted by  $L^+$  and it is the language that consists of joining a language  $L$  with all its powers except  $L^0$

$$L^+ = \bigcup_{i=1}^{\infty} L^i$$

- $\lambda$  is not included in the positive closure if  $\lambda \notin L$
- As  $\Sigma$  is a language over  $\Sigma$ , the positive closure of  $\Sigma$  is:

$$\Sigma^+ = \bigcup_{i=1}^{\infty} \Sigma^i = W(\Sigma) - \{\lambda\}$$



- **Operations with languages:**

- **6. Iteration, closure of a language**

- It is defined by  $L^*$  and it is the language that consists of joining the language  $L$  and all its possible powers.
- **\* is the Kleene unitary operator**

$$L^* = \bigcup_{i=0}^{\infty} L^i$$

$\lambda$  Is included in every closure,

- Properties:
  - $L^* = L^+ \cup \{\lambda\}$
  - $L^+ = L^* \cdot L = L \cdot L^*$
- Given that  $\Sigma$  is a language over  $\Sigma$ , we get the universal

language:  $\Sigma^* = W(\Sigma) \longrightarrow$  **The universal language is  $\Sigma^*$**





- **Operations with languages:**

## 7. Reflection of languages

- The reflected or inverse language of  $L$  is represented by  $L^{-1}$  and defined by the language:

$$L^{-1} = \{ x^{-1} \mid x \in L \}$$

- It is the language that consists of every reflected word of  $L$ .

